## Exploring the Potentiality to Estimate Speaker's Attitude by Low-level Features in Active Listening Conversation

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## Background

#### Dementia

- A loss of cognitive ability in a previously unimpaired person, beyond the degree expected from normal aging
- Rapidly increasing number of dementia patients in developed countries
  - Around 10% of above-65 population
  - Increasing to 4.4 million (4.1%) by 2035 in Japan
- Decreasing number of younger generations (Japan)
  - Total population: 127m -> 110m

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– 15-59 population: 56.1% -> 48.6%





(age)

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## Care and Support

- No effective treatment to heal yet
- Decay of cognitive ability can be slowed down
  - Reminiscence (photos, songs, etc.)
  - Life review
  - Active listening volunteers
  - Group talk of patients
  - Robots
- High cost of human caregivers
  - Laborious
  - Few volunteers



[Otake 2009]

[Kanoh 2010]









## Communication at **Higher Level**

- Rapport agent [Huang 2011]
  - Build rapport with the subjects by low-level signals like nodding and smiles
  - Low-level signals to low-level signals with the rules based on literatures
- Companion agent [Vardoulakis 2012]
  - Long-term relationship
  - Field study with volunteers
  - Wizard-of-Oz experiment
  - The agent did not have interactive backchannel behaviors
- General issues

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Based on the empirical results with Western subjects





[Huang 2011]



Virtual Health Agents, IVA 2015 Workshop, Aug. 25<sup>th</sup>, 2015

[Vardoulakis 2012]



## **Active Listener Agent**

#### Prototype

- Agent-initiative dialogues
- Backchannel feedback timings generated from acoustic features of user's voice
- Natural language understanding based on matching with QA templates defined in advance
- The dialogue is not personalized yet
- Pilot test was encouraging. The patients were happy with the agent because they don't feel inferiority

#### Goal:

the elderly enjoys the talk with the agent and speak much

[Nonaka 2012]

#### Active listening:

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actively follow the talk of speaker: show interests, ask questions, agreeing attitude, etc.

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## Procedure

#### STEP1.

7

Active listening experiment

#### STEP2.

Corpus evaluation on the participants' attitude

#### STEP3.

Automatic estimation of the evaluation values from low-level signals

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## Experiment Setup

- Participant: 9 pairs of college students
  (5 male, 4 female)
- Native Japanese speakers
- Close friends
- Average age: 22.1

#### **STEP1. Active listening experiment**

- Role: interchanged in the sessions
  - Speaker: talk about his / her family
  - Listener: active listening
- Participants: separated into two rooms
- Topic: pleasant / unpleasant experience with family

Session	Торіс	Speaker	Listener
1	Pleasant experience with	A	В
2	family	В	A
3	Unpleasant experience with	A	В
4	family	В	A





7 minutes each



#### **STEP2.** Corpus evaluation

- Third person (2 males and 2 females) evaluated the recorded video on the attitude of the speakers and the listeners
- The evaluators were not in either room, have no or little knowledge of the participants (shares similar abilities as the agent)
- Use annotation tool, ELAN, immediately after the experiment
- Subject evaluate with 7-scale measurement (1~7)

## Annotation

#### Video corpus, Upper: Speaker, Lower: Listener)

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- Time lines filled without blanks
- Label boundaries aligned to utterance boundaries
- One label can cover multiple boundaries

Virtual Health Agents, IVA 2015 Workshop, Aug. 25<sup>th</sup>, 2015

Maximum length of labels is 10 sec.

# Video CorpusExample of positive attitude

#### **%**S:Speaker, L∶Listener

S: Maybe my mom overprotects me.

L:Maybe.

S: Must be overprotective.

L: Uh-huh.

S: Well so...

L: Overprotective mother, right?

S:Yes, quite

L: The child should have hard life .





## Video Corpus Example of negative attitude

- S: Do you remember that whether you ever rode my car?
- L: I don't think so.
- S: Well, probably no.

L:Yes, I didn't.

- S: Maybe we were on a rental car.
- L:Rental car. We rented a car when we went to travel.
- S: O-oh, we are not talking about family.

L:What?

- S: We should talk about my family.
- L: Just because your talk was so boring.

S:Hmm....

- L:Hey, give me more interesting stories.
- S: Oh...well...let me think.

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Listener→



## Normalization of label values

Evaluator	1	2	3	4	5	6	7	m	σ
А	15	39	80	235	253	88	46	4.48	1.27
В	26	50	92	188	345	55	23	4.33	1.25
С	37	98	193	413	276	78	34	4.03	1.27
D	22	54	113	302	284	138	67	4.48	1.33

Evaluator	1	2	3	4	5	6	7
А	-0.781	-0.562	-0.343	-0.124	0.096	0.315	0.534
В	-0.802	-0.603	-0.405	-0.207	-0.009	0.190	0.388
С	-0.750	-0.499	-0.249	0.002	0.252	0.503	0.753
D	-0.772	-0.544	-0.316	-0.089	0.140	0.367	0.595



#### **STEP3.** Automatic estimation



- Label: wave-form like data streams
- Sampling rate: 10Hz

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- Shortest length of the label: 0.244 sec
- Data normalization: z-score

Low-level signals

Face activities

- Smile: may imply pleasant mood
- Nod: may imply agreement to or the willing to listen to the partner's opinion
- Speaking frequency
  - May imply the willing to talk to the partner



### Extraction of face activity values





## **Classification results**

**Smile**: upper lip raising, lower lip raising, lip corner raising, brow raising; C4.5 decision tree

	Precision	Recall	F Measure	
smile	0.869	0.885	0.877	
nothing	0.957	0.951	0.954	
Overall	93.3%			

#### Nod: head position, head position difference,

head direction, head rotation difference; C4.5 decision tree

	Precision	Recall	F Measure	
nod	0.853	0.842	0.847	
nothing	0.933	0.921	0.930	
Overall	90.2%			



## Speaking frequency





## **Classification targets**



3 classes



7 classes



#### Classification results (3 classes & 5 classes)

	Precision	Recall	F Measure	
1/3	0.207	0.545	0.311	
2/3	0.750	0.471	0.579	
3/3	0.400	0.523	0.470	
Overall	58.2%(33.3%)			

	Precision	Recall	F Measure		
1/5	0.292	0.875	0.438		
2/5	0.273	0.375	0.316		
3/5	0.720	0.485	0.610		
4/5	0.118	0.400	0.182		
7/7	0.412	0.583	0.483		
Overall	<mark>49.3%</mark> (20%)				



### Classification results (7 classes)

	Precision	Recall	F Measure		
1/7	0	0	0		
2/7	0.400	0.421	0.410		
3/7	0.091	0.118	0.103		
4/7	0.471	0.400	0.432		
5/7	0.333	0.250	0.286		
6/7	0.375	0.286	0.324		
7/7	0.100	0.167	0.125		
Overall	<mark>29.4%</mark> (14.3%)				



#### Conclusions

- Evaluation method of participants' attitude (engagement) during active listening conversation
- Automatic estimation method of above based on empirical results
- Accuracy was moderate but showed the potential of this method
- Future works
  - Improvement of accuracy
    - ◆ Postures, acoustic and other non-verbal features
    - Verbal features
  - Development of the model of the listener agent's responses
  - Experiments with elderly subjects
  - Development of the fully working agent
  - Long-term evaluation of the agent

## Discussion

- Other non-verbal cues?
- The way how we defined the automatic estimation targets?
- From inputs to outputs?
  - The rules?
  - The appearance of the avatar?
  - The communication style?

## Thank you for your attention contact: hhhuang@acm.org

